

Some pages in each of the following books are useful in doing these homework questions, but the main text is the first one:

- [H] Introduction to Lie Algebras and Representation Theory, by James E. Humphreys, Graduate Texts in Mathematics, Vol 9, Springer-Verlag, 1972 (1994?). (0-387-90053-5)
- [K] Lie Groups, Lie Algebras, and Cohomology, by Anthony W. Knap, Mathematical Notes 34, Princeton University Press, 1988. (0-691-08498-X, QA387.K57)
- [FH] Representation Theory: A First Course, by William Fulton and Joe Harris, Graduate Texts in Mathematics, Springer-Verlag, 1991 (or 1999?). (0-387-97495-4, 0-387-97527-6, QA171.F85)

Unless otherwise stated,  $\mathfrak{g}$  denotes a finite-dimensional  $\mathbb{C}$ -vector space that is also a Lie algebra. Unless otherwise stated, for all the Lie algebras considered below, including  $\mathfrak{sl}(2)$  and  $\mathfrak{sl}(3)$ , the underlying field is  $\mathbb{C}$ .

- Find the Jordan normal (canonical) form of the matrices: (a)  $\begin{bmatrix} 8 & -6 \\ 9 & -7 \end{bmatrix}$  (b)  $\begin{bmatrix} 1 & 1 \\ -1 & 3 \end{bmatrix}$ .
- Find the Jordan normal (canonical) form of the matrix:

$$A = \begin{bmatrix} 3 & -1 & 0 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 1 & 3 & 0 \\ -1 & 0 & -1 & 2 \end{bmatrix},$$

and find an invertible matrix  $P$  such that  $P^{-1}AP$  is the Jordan normal form of  $A$ .

- Let  $SO(3) = \{M \in M_3(\mathbb{R}) : \det M = 1, MM^T = I\}$ . Consider the construction of  $\mathfrak{so}(3)$  in class as being the set of tangent vectors,  $c'(0)$ , to smooth curves,  $c(t)$ , in  $SO(3)$  at the identity,  $I = c(0)$ , or as being the set of skew-symmetric real  $3 \times 3$  matrices. Prove that if  $a, b \in \mathfrak{so}(3)$ , then  $ab - ba \in \mathfrak{so}(3)$ 
  - directly from the second description of  $\mathfrak{so}(3)$  (messy!), or
  - by using  $SO(3)$  as follows:

- show that  $M^{-1}AM \in SO(3)$  for all  $M, A \in SO(3)$
- show that  $M^{-1}c'(0)M \in \mathfrak{so}(3)$  for all  $M \in SO(3)$   
(hint:  $M^{-1}c'(0)M =$  the evaluation of  $\frac{d}{dt}(M^{-1}c(t)M)$  at  $t = 0$ .)
- justify that the evaluation of  $\frac{d}{dt}(b(t)^{-1}c'(0)b(t))$  at  $t = 0$  belongs to  $\mathfrak{so}(3)$  (using words will suffice)
- prove that the evaluation of  $\frac{d}{dt}(b(t)^{-1}c'(0)b(t))$  at  $t = 0$  equals  $c'(0)b'(0) - b'(0)c'(0)$ .

4. Repeat the last question for  $\mathfrak{sl}(2)$ .
5. [H, pg 5, #1] Let  $\mathfrak{g}$  denote the real vector space  $\mathbb{R}^3$ , and define  $[x, y] = x \times y$  (cross product of vectors) for all  $x, y \in \mathfrak{g}$ . Verify that  $\mathfrak{g}$  is a Lie algebra.
6. Let  $A$  denote the Weyl algebra introduced in class. Prove the product rule:  
 $[fg, h] = f[g, h] + [f, h]g$  for all  $f, g, h \in A$ .
7. [H, pg 5, #2] Verify that bilinearity and skew-symmetry of the Lie bracket together with the following equations define a Lie-algebra structure on a 3-dimensional vector space with basis  $\{x, y, z\}$ :

$$[x, y] = z, \quad [x, z] = y, \quad [y, z] = 0.$$

8. Let  $x = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  and  $y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$  be an ordered basis for  $\mathfrak{sl}(2)$ . Compute the lie bracket of  $\mathfrak{sl}(2)$  on this basis. Compute the matrices of  $\text{ad } x$ ,  $\text{ad } h$  and  $\text{ad } y$  relative to this basis (c.f., [H] page 5 #3). Describe the Lie structure of  $\text{ad } \mathfrak{sl}(2)$ .
9. [H, pg 5, #4] Find a linear Lie algebra isomorphic to the nonabelian 2-dimensional Lie algebra constructed in [H, pg 5]. (Hint: look at the adjoint representation.)
10. Let  $e_{ij}$  denote the  $3 \times 3$  matrix with a 1 in row  $i$  and column  $j$  and zeros elsewhere. Consider the vector space,  $\mathfrak{sl}(3)$ , which has basis  
 $\{x_1 = e_{12}, x_2 = e_{23}, x_3 = e_{13}, y_1 = e_{21}, y_2 = e_{32}, y_3 = e_{31}, h_1 = e_{11} - e_{22}, h_2 = e_{22} - e_{33}\}$ .  
 Compute the lie bracket of  $\mathfrak{sl}(3)$  on this basis, and find a sensible definition of  $h_3$ .
11. [H, pg 6, #12] Prove that if  $x \in \mathfrak{g}$ , then the subspace of  $\mathfrak{g}$  spanned by the eigenvectors of  $\text{ad } x$  is a subalgebra of  $\mathfrak{g}$ .
12. [H, pg 5, #6] Let  $x \in \mathfrak{gl}(n)$  have  $n$  distinct eigenvalues  $a_1, \dots, a_n \in \mathbb{C}$ . Prove that the eigenvalues of  $\text{ad } x$  are precisely the  $n^2$  scalars  $a_i - a_j$ , where  $1 \leq i, j \leq n$ , which, of course, need not be distinct.
13. Show that  $\text{Der } V = \{\text{derivations on } V\}$  is a vector space over  $\mathbb{C}$ .
14. Show that if  $x \in \mathfrak{g}$ , then  $\text{ad } x$  is a derivation on  $\mathfrak{g}$ .
15. For any Lie algebra  $\mathfrak{g}$ , prove that
  - (a) the center,  $Z(\mathfrak{g}) = \{g \in \mathfrak{g} : [x, g] = 0 \ \forall x \in \mathfrak{g}\}$ , is an ideal of  $\mathfrak{g}$ ;
  - (b) if  $I, J$  are ideals of  $\mathfrak{g}$ , then so is  $I + J = \{x + y : x \in I, y \in J\}$  and so is  $[I, J] = \{\sum_{i=1}^n [x_i, y_i] : x_i \in I, y_i \in J\}$ ;
  - (c) the kernel of any Lie-algebra homomorphism is an ideal.
16. [H, pg 9, #1] Prove that the set of all inner derivations,  $\{\text{ad } x : x \in \mathfrak{g}\}$ , is an ideal of  $\text{Der } \mathfrak{g}$ .
17. If  $V$  is a vector space over a field  $\mathbb{F}$ , and if  $W$  is a subspace of  $V$ , prove that  $V/W$ , with  $+$  and scalar multiplication inherited from  $V$ , is a vector space over  $\mathbb{F}$ . In addition, prove that if  $\dim(V) < \infty$ , then  $\dim(V/W) = \dim(V) - \dim(W)$ .
18. Prove that  $[\mathfrak{g}, \mathfrak{g}] = \{\sum_{i=1}^n \alpha_i [x_i, y_i] : x_i, y_i \in \mathfrak{g}, n \in \mathbb{N}, \alpha_i \in \mathbb{C}\}$  is an ideal of  $\mathfrak{g}$  and that  $\frac{\mathfrak{g}}{[\mathfrak{g}, \mathfrak{g}]}$  is an abelian Lie algebra.

19. [H, pg 10, #4] Show that, up to isomorphism, there is a unique 3-dimensional Lie algebra,  $\mathfrak{g}$ , whose derived algebra,  $[\mathfrak{g}, \mathfrak{g}]$ , has dimension one and lies in  $Z(\mathfrak{g})$ .
20. [H, pg 10, #5] Prove that if  $\dim(\mathfrak{g}) = 3$  and  $[\mathfrak{g}, \mathfrak{g}] = \mathfrak{g}$ , then  $\mathfrak{g}$  is simple. (Hint: prove first that any homomorphic image  $\mathfrak{h}$  of  $\mathfrak{g}$  satisfies  $[\mathfrak{h}, \mathfrak{h}] = \mathfrak{h}$ .) Use this result to prove that  $\mathfrak{sl}(2)$  is simple.
21. Let  $\mathfrak{g}'$  denote a subalgebra of  $\mathfrak{g}$ . Recall that the normalizer,  $\mathfrak{n}(\mathfrak{g}')$ , is defined to be

$$\mathfrak{n}(\mathfrak{g}') = \{x \in \mathfrak{g} : [x, \mathfrak{g}'] \subset \mathfrak{g}'\}.$$

Prove that  $\mathfrak{n}(\mathfrak{g}')$  is the largest subalgebra of  $\mathfrak{g}$  which contains  $\mathfrak{g}'$  and in which  $\mathfrak{g}'$  is an ideal.

22. [H, pg 10, #10] Let  $\sigma$  denote the automorphism of  $\mathfrak{sl}(2)$  defined in [H, §2.3]. Verify that  $\sigma(x) = -y$ ,  $\sigma(y) = -x$  and  $\sigma(h) = -h$ .
23. [H, pg 10, #11] If  $\mathfrak{g} = \mathfrak{sl}(n)$  and  $g \in GL(n)$ , prove that the map of  $\mathfrak{g}$  to itself defined by  $x \mapsto -gx^Tg^{-1}$  belongs to  $\text{Aut } \mathfrak{g}$ . If  $n = 2$  and  $g$  is the identity matrix, prove that this automorphism is inner.
24. Prove that any finite-dimensional simple Lie algebra is isomorphic to some linear Lie algebra.
25. [H, pg 14, #5] Prove that the nonabelian 2-dimensional Lie algebra is solvable but not nilpotent. Do the same for the Lie algebra in Question 7 above.
26. A subalgebra  $\mathfrak{h}$  of  $\mathfrak{g}$  is called a Cartan subalgebra of  $\mathfrak{g}$  if  $\mathfrak{h}$  is nilpotent and if  $\mathfrak{h} = \mathfrak{n}(\mathfrak{h})$  (see Question 21 above). Prove that  $\mathfrak{h} = \mathbb{C}h \subset \mathfrak{sl}(2)$  is a Cartan subalgebra of  $\mathfrak{sl}(2)$ .
27. A Borel subalgebra of  $\mathfrak{g}$  is a maximal solvable subalgebra of  $\mathfrak{g}$ . Prove that any 2-dimensional Lie subalgebra of  $\mathfrak{sl}(2)$  is a Borel subalgebra of  $\mathfrak{sl}(2)$ , and that such a subalgebra of  $\mathfrak{sl}(2)$  has a basis  $\{X, H\}$ , where  $[H, X] = 2X$ .
28. Refer to Question 10 above. Prove that  $\mathfrak{h} = \mathbb{C}h_1 + \mathbb{C}h_2$  is a Cartan subalgebra of  $\mathfrak{sl}(3)$ , and that  $\mathfrak{b} = \mathfrak{h} + \mathbb{C}x_1 + \mathbb{C}x_2 + \mathbb{C}x_3$  is a Borel subalgebra of  $\mathfrak{sl}(3)$ .
29. Prove that any simple Lie algebra is semisimple.
30. Prove that  $\text{Rad}\left(\frac{\mathfrak{g}}{\text{Rad } \mathfrak{g}}\right) = 0$ .
31. Prove that the Killing form,  $\kappa$ , is associative. (Hint: see [H, Page 21].)
32. As discussed on [H, Page 21], the Killing form,  $\kappa$ , is a symmetric bilinear form. Prove that if a basis is chosen for the Lie algebra,  $\mathfrak{g}$ , then  $\kappa(x, y) = x^T(\text{symmetric matrix})y$  for all  $x, y \in \mathfrak{g}$ , where  $x$  and  $y$  on the right-hand side are represented using column vectors and  $x^T$  denotes the transpose of the column  $x$ .
33. Prove that the radical of  $\kappa$ ,  $\text{Rad } \kappa = \{x \in \mathfrak{g} : \kappa(x, y) = 0 \forall y \in \mathfrak{g}\}$ , is an ideal of  $\mathfrak{g}$ .
34. [H, pg 24, #1] Prove that if  $\mathfrak{g}$  is nilpotent, then the Killing form of  $\mathfrak{g}$  is identically zero.
35. [H, pg 24, #3] Let  $\mathfrak{g}$  be the nonabelian 2-dimensional Lie algebra which is solvable but not nilpotent (see Question 25 above). Prove that  $\mathfrak{g}$  has nontrivial Killing form.

36. [H, pg 24, #4] Let  $\mathfrak{g}$  be the 3-dimensional solvable Lie algebra in Question 7 above (see Question 25 above). Compute the radical of its Killing form.
37. [H, pg 24, #5] Let  $\mathfrak{g} = \mathfrak{sl}(2)$ . Compute the basis of  $\mathfrak{g}$  which is dual to the standard basis, relative to the Killing form.
38. Let  $\phi : V \rightarrow W$  denote a  $\mathfrak{g}$ -module homomorphism. Prove that
- $\text{Ker}(\phi)$  is a  $\mathfrak{g}$ -submodule of  $V$ , and
  - $\text{Im}(\phi)$  is a  $\mathfrak{g}$ -submodule of  $W$ .
39. Let  $\phi : V \rightarrow V$  denote a  $\mathfrak{g}$ -module homomorphism, such that  $\phi \circ \phi = \phi$ . Prove that  $V = \text{ker}(\phi) \oplus \text{im}(\phi)$ .
40. Let  $V$  denote the simple 2-dimensional  $\mathfrak{sl}(2)$ -module given in class. Verify that the  $\mathfrak{sl}(2)$ -action given in class on  $V^*$  is correct, and verify that the map  $\phi$  given in class is a  $\mathfrak{g}$ -module isomorphism.
41. [H, pg 34, #2] The Lie algebra  $\mathfrak{sl}(3)$  may be viewed as the traceless  $3 \times 3$  complex matrices; it contains a copy of  $\mathfrak{sl}(2)$  in its upper left-hand  $2 \times 2$  position. Hence,  $\mathfrak{sl}(2)$  is a Lie subalgebra of  $\mathfrak{sl}(3)$ , so  $\mathfrak{sl}(3)$  is an 8-dimensional  $\mathfrak{sl}(2)$ -module via the adjoint action. Write  $\mathfrak{sl}(3)$  as a direct sum of irreducible  $\mathfrak{sl}(2)$ -modules of dimensions 1, 2, and 3.
42. Read pages 48-52 of [K].
43. Read pages 471-472 of [FH].
44. Let  $V$  and  $W$  denote vector spaces over  $\mathbb{C}$ . Prove that
- $V \otimes_{\mathbb{C}} W \cong W \otimes_{\mathbb{C}} V$ ,
  - $(V \otimes_{\mathbb{C}} W)^* \cong V^* \otimes_{\mathbb{C}} W^*$ ,
  - there is a natural isomorphism  $\text{Hom}_{\mathbb{C}}(V, W) \cong W \otimes_{\mathbb{C}} V^*$  (“natural” means independent of choice of basis).
45. Treating  $\mathbb{Z}$  like  $\mathbb{C}$  (i.e., elements of  $\mathbb{Z}$  pass through  $\otimes_{\mathbb{Z}}$  in either direction), verify that  $\mathbb{Z}_2 \otimes_{\mathbb{Z}} \mathbb{Z}_3 = \{0\}$ .
46. Read pages 52-56 of [K].
47. Read pages 472-475 and then pages 146-153 of [FH].
48. [H, pg 34, #6] Decompose the tensor product of the two  $\mathfrak{sl}(2)$ -modules  $V(3)$  and  $V(7)$  into the sum of irreducible submodules:
- $$V(3) \otimes_{\mathbb{C}} V(7) = V(4) \oplus V(6) \oplus V(8) \oplus V(10).$$
- If  $V, W$  are irreducible representations of  $\mathfrak{sl}(2)$  of dimensions  $n+1 < \infty$  and  $m+1 < \infty$  respectively, then compute the direct-sum decomposition of  $V \otimes_{\mathbb{C}} W$  into irreducible representations of  $\mathfrak{sl}(2)$ .
49. [H, pg 34, #7] In this question, the goal is to construct certain infinite-dimensional  $\mathfrak{sl}(2)$ -modules. Let  $\lambda \in \mathbb{C}$ , and let  $Z(\lambda)$  denote a vector space over  $\mathbb{C}$  with countably infinite basis  $\{v_0, v_1, v_2, \dots\}$ .

(a) Prove that the following formulae define an  $\mathfrak{sl}(2)$ -module structure on  $Z(\lambda)$ :

- $h(v_i) = (\lambda - 2i)v_i$ ,
- $y(v_i) = (i + 1)v_{i+1}$ ,
- $x(v_i) = (\lambda - i + 1)v_{i-1}$ ,

for all  $i \geq 0$  and where  $v_{-1} = 0$ .

(b) Suppose  $\lambda + 1 = i \in \mathbb{N}$ . Prove that  $v_i$  is a highest weight vector (primitive vector). This induces an  $\mathfrak{sl}(2)$ -module homomorphism  $\phi : Z(\lambda - 2i) \rightarrow Z(\lambda)$  sending  $v_0$  to  $v_i$ . Show that  $\phi$  is a monomorphism and that  $\text{Im } \phi$  and  $Z(\lambda)/\text{Im } \phi$  are both irreducible  $\mathfrak{sl}(2)$ -modules, but that  $Z(\lambda)$  is not semisimple.

(c) Suppose that  $\lambda + 1 \notin \mathbb{N}$ . Prove that  $Z(\lambda)$  is irreducible.

50. Read pages 56-72 and 76-86 of [K].

51. Read pages 89-91.5 of [H].

52. Use the universal mapping property of  $U(\mathfrak{g})$  to prove that  $V$  is a  $U(\mathfrak{g})$ -module iff  $V$  is a representation of  $\mathfrak{g}$ .

53. Let  $A = \mathbb{C}[x, y]$  denote the polynomial ring on two variables and let  $B = \mathbb{C}[x] \subset A$  denote the polynomial ring on one variable viewed as a subalgebra of  $A$ . Let  $V$  denote a 1-dimensional  $B$ -module; that is  $V = \mathbb{C}v$  for some  $0 \neq v \in V$ , and  $x(v) = \lambda v$  for some  $\lambda \in \mathbb{C}$  and  $\alpha(v) = \alpha v \in V$  for all  $\alpha \in \mathbb{C}$ . Consider  $V' = A \otimes_B V$ , where  $\otimes_B$  means the obvious thing (i.e., elements of  $B$  can pass through  $\otimes_B$  in either direction in the same way that scalars pass through  $\otimes_{\mathbb{C}}$  in either direction in Question 44). Find a way to give  $V'$  the structure of an  $A$ -module. Give a vector-space basis for  $V'$ .

54. Read pages 161-189 of [FH].

55. Verify whether or not there exists an irreducible representation of  $\mathfrak{sl}(3)$  of dimension  $i$  for all  $i \in \{1, \dots, 6\}$ .

56. Let  $V$  denote a finite-dimensional irreducible representation of  $\mathfrak{sl}(3)$  and let  $\Lambda_W$  denote the weight lattice of  $V$ . It is false that the dimension of each weight space of  $V$  is 1, so the following argument contains an error; find the error and justify that it is an error.

Let  $\beta$  denote the highest weight of  $V$  and let  $\gamma \in \Lambda_W$ . If  $\dim(V_\gamma) \geq 2$ , then there exist two different routes from  $\beta$  to  $\gamma$  in  $\Lambda_W$ . It follows that

$$\gamma = \beta + a_1(\lambda_1 - \lambda_2) + a_2(\lambda_1 - \lambda_3) + a_3(\lambda_2 - \lambda_3), \quad \text{and}$$

$$\gamma = \beta + a'_1(\lambda_1 - \lambda_2) + a'_2(\lambda_1 - \lambda_3) + a'_3(\lambda_2 - \lambda_3),$$

for some  $a_i, a'_i \in \mathbb{Z}$ . Since  $\lambda_2 - \lambda_3 = (\lambda_1 - \lambda_3) - (\lambda_1 - \lambda_2)$ , we may assume that  $a_3 = 0 = a'_3$ . Hence,

$$\lambda_1(a_1 + 2a_2) + \lambda_2(-a_1 + a_2) = \lambda_1(a'_1 + 2a'_2) + \lambda_2(-a'_1 + a'_2),$$

but since  $\lambda_1$  and  $\lambda_2$  are linearly independent in  $\mathfrak{h}^*$ , it follows that  $a_1 = a'_1$  and  $a_2 = a'_2$ . Thus, there is only one route from  $\beta$  to  $\gamma$ , which contradicts  $\dim(V_\gamma) \geq 2$ .